



Attorney Docket No. & Trademark Office

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
Martin Raymond Scott, et al. )  
Application No.: 10/646,087 )  
Filed: August 22, 2003 )  
For: ADAPTIVE CLOCK RECOVERY )

Examiner:

Art Unit:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

on September 4, 2003  
Date of Deposit

Christopher P. Marshall  
Name of Person Mailing Correspondence

C.P. Marshall 9/4/2003  
Signature Date

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX 37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

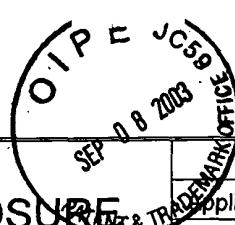
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: September 4, 2003

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**Substitute for Form 1449/PTO**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Substitute for Form 1449/PTO				SEARCHED INDEXED & TRADMARK SEARCHED	Complete if Known
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/646,087
				Filing Date	August 22, 2003
				First Named Inventor:	Martin Raymond Scott
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	6770P001

## **NON PATENT LITERATURE DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001**

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change



## FEE TRANSMITTAL FOR FY 2003

**TOTAL AMOUNT OF PAYMENT (\$)** 0.00

Completed by:

Application No. 10/646,087  
 Filing Date August 22, 2003  
 First Named Inventor Martin Raymond Scott  
 Group Art Unit \_\_\_\_\_  
 Examiner Name \_\_\_\_\_  
 Attorney Docket No. 6770P001

### METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
                         Money Order  
                         Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
1001	750	2001	375
1002	330	2002	165
1003	520	2003	260
1004	750	2004	375
1005	160	2005	80
		Utility application filing fee	_____
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____
<b>SUBTOTAL (1) \$ 0.00</b>			

#### 2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	- 20** = _____	X 18.00	= _____
Independent Claims	- 3** = _____	X 84.00	= _____
Multiple Dependent			= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

#### Large Entity Small Entity

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	84	2201 42 Independent claims in excess of 3
1203	280	2203 140 Multiple dependent claim, if not paid
1204	84	2204 42 **Reissue independent claims over original patent
1205	18	2205 9 **Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ 0.00**

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee		
Code	Code		
1051	130	2051 65 Surcharge - late filing fee or oath	_____
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	_____
1053	130	1053 130 Non-English specification	_____
1812	2,520	1812 2,520 For filing a request for ex parte reexamination	_____
1813	8,800	1813 8,800 Request for inter parties reexamination	_____
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	_____
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	_____
1251	110	2251 55 Extension for reply within first month	_____
1252	410	2252 205 Extension for reply within second month	_____
1253	930	2253 465 Extension for reply within third month	_____
1254	1,450	2254 725 Extension for reply within fourth month	_____
1255	1,970	2255 985 Extension for reply within fifth month	_____
1401	320	2401 160 Notice of Appeal	_____
1402	320	2402 160 Filing a brief in support of an appeal	_____
1403	280	2403 140 Request for oral hearing	_____
1451	1,510	1451 1,510 Petition to institute a public use proceeding	_____
1452	110	2452 55 Petition to revive – unavoidable	_____
1453	1,300	2453 650 Petition to revive - unintentional	_____
1501	1,300	2501 650 Utility issue fee (or reissue)	_____
1502	470	2502 235 Design issue fee	_____
1503	630	2503 315 Plant issue fee	_____
1460	130	1460 130 Petitions to the Commissioner	_____
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	_____
1806	180	1806 180 Submission of Information Disclosure Stmt	_____
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	_____
1809	750	2809 375 For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
1814	110	2814 55 Statutory Disclaimer	_____
1810	750	2810 375 For each additional invention to be examined (see 37 CFR 1.129(b))	_____
1801	750	2801 375 Request for Continued Examination (RCE)	_____
1802	900	1802 900 Request for expedited examination of a design application	_____
1504	300	1504 300 Publication fee for early, voluntary, or normal pub.	_____
1505	300	1505 300 Publication fee for republication	_____
1803	130	1803 130 Request for voluntary publication or republication	_____
1808	130	1808 130 Processing fee under 37 CFR 1.17(i) (except provisionals)	_____
1454	1,300	1454 1,300 Acceptance of unintentionally delayed claim for priority	_____

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 0.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Lester J. Vincent

Signature: J. J. Vincent Date: September 4, 2003

Reg. Number: 31,460 Telephone Number: (408) 720-8300